

MONEY TRANSMITTER LICENSE RENEWAL

NOTICE OF MONEY TRANSMITTER LICENSE EXPIRATION

Please read carefully and complete attached application.

Pursuant to Kentucky Revised Statutes Chapter 286.11-021(3), all **LICENSES** obtained under this chapter **expire September 30** after the date of issuance unless renewed.

A license may be renewed by filing the enclosed application with this Office and paying the annual renewal fee of \$500.

(Please make the check payable to **KENTUCKY STATE TREASURER**.)

The application and payment must be received by this Office on or before September 20, of the current year, prior to the September 30 expiration date.

Also, as always, any new legislation that may have passed during the last Legislative Session may be viewed at www.lrc.state.ky.

***** If an application for renewal is not received by the above referenced date, the Executive Director MAY reinstate the license within thirty (30) days of the expiration if the licensee becomes compliant and pays a civil penalty equal to the amount of the annual renewal fee.**

Please submit the required information/fees and direct any questions regarding this renewal to:

Rodney Gabbard
Office of Financial Institutions
1025 Capital Center Drive, Suite 200
Frankfort, Kentucky 40601
(502) 573-3390
Rodney.Gabbard@ky.gov
www.kfi.ky.gov

MONEY TRANSMITTER LICENSE RENEWAL
KENTUCKY OFFICE OF FINANCIAL INSTITUTIONS
1025 CAPITAL CENTER DRIVE, SUITE 200
FRANKFORT, KENTUCKY 40601
502-573-3390

Application is hereby made for the **renewal** of the following Money Transmitter license under Kentucky Revised Statutes Chapter 286.11.

1. **Name and Address** of the licensee **as it appears on the license:**

2. Telephone Number: _____
3. FEDERAL TAX I.D. NUMBER: _____
4. **E-Mail** address: _____
5. **WEB** address : _____
6. Please submit a copy of the licensee's most recent audited annual financial statement, or if the licensee is a wholly owned subsidiary of another corporation, the most recent audited consolidated annual financial statement of the parent corporation.
7. Please submit a copy of the most recent quarter for which data is available prior to the date of the filing of the renewal application, but in no event more than one hundred twenty (120) days prior to the renewal date.
8. Please submit a list of the number of payment instruments sold by the licensee in Kentucky, the dollar amount of those instruments, and the dollar amount of those instruments currently outstanding, according to the most recent audited financial statement.
9. Have there been any material changes to any of the information submitted by the licensee on its original application which have not previously been reported to the Office? _____ If yes, please describe on a separate sheet. Types of reportable changes include but are not limited to: company name, ownership, physical/mailing/records location addresses, phone and fax numbers, or changes in responsible individual.

You must keep the Office of Financial Institutions informed at all times so our information for the company is always current and complete.

10. Please submit a list of the licensee's permissible investments and the book or market value of such investments as of the most recent audited financial statement and as of the unaudited financial statement filed in accordance with the request in # 7. Also include the licensee's aggregate amount of all outstanding instruments for the above dates. A certification that the licensee continues to maintain permissible investments according to the requirements set forth in KRS 286.11-015.
11. Please submit a list of the locations, including names, physical addresses, telephone number, fax number, and contact person in this state where the licensee or agent of the licensee engages in money transmission. A list containing twenty-five (25) agents or more **will require** an electronic list. An Excel spreadsheet preferred however; we would accept any 'delimited' type file (coma, tab, etc...).
12. Please provide a copy of the surety bond, current invoice and proof of payment or copies of current safekeeping receipt(s) for securities pledged as a deposit in lieu of the bond as proof that the licensee continues to maintain adequate security as required by KRS 286.11-013.
13. Please list name, direct phone number, and email of person to contact for
A: Licensing _____
B: Regulatory/Compliance _____

Include check for license fee made payable to Kentucky State Treasurer.

I, _____, hereby declare on my oath that I have executed this application for renewal of license and that the facts stated in this application of renewal are true and correct.

Partner or Officer Signature

Subscribed and sworn to before me this
_____ day of _____, 20 ____.

Notary Public – State at Large

My Commission Expires: _____